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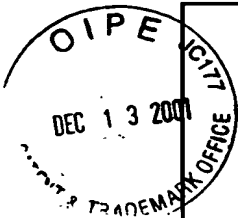


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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE



TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/577,835	
	Filing Date	May 25, 2000	
	First Named Inventor	Pai-Hung Pan	
	Group Art Unit	2811	
	Examiner Name	C. Nguyen	
Total Number of Pages in This Submission		Attorney Docket Number	M4065.0321/P321-A

ENCLOSURES (check all that apply)

- | | | |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
<i>(for an Application)</i> | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
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<i>(Appeal Notice, Brief, Reply Brief)</i> |
| <input checked="" type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s)
<i>(please identify below)</i> |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
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| <input type="checkbox"/> Response to Missing Parts/
Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico
Signature	
Date	December 13, 2001

AMENDMENT TRANSMITTAL LETTER

Docket No.
M4065.0321/P321-A

Application No.
09/577,835

Filing Date
May 25, 2000

Examiner
C. Nguyen

Group Art Unit
2811

Applicant(s): Pai-Hung Pan, et al.

Invention: PASSIVATION OF SIDEWALLS OF A WORD LINE STACK

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	6	- 6 =		x	0.00
Independent Claims	1	- 1 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					0.00

☒ Large Entity

☐ Small Entity

☒ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. _____ in the amount of \$ _____.
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☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1073
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☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Thomas J. D'Amico
Attorney Reg. No.: 28,371

Dated: December 13, 2001

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Docket No.: M4065.0321/P321-A
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Pai-Hung Pan et al.

Application No.: 09/577,835

Filed: May 25, 2000

For: PASSIVATION OF SIDEWALLS OF A
WORD LINE STACK

Group Art Unit: 2811

Examiner: C. Nguyen

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AMENDMENT UNDER 37 CFR 1.116

Box AF
Commissioner for Patents
Washington, DC 20231

Dear Sir:

In response to the Office Action dated September 13, 2001 (Paper No. 6),
finally rejecting claims 25-30 please consider the following amendment:

IN THE CLAIMS

Please rewrite claim 25 as follows:

25. An integrated circuit comprising:

a semiconductor substrate;

a gate dielectric film disposed on a surface of the substrate;